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|---|-------------------------------|---|--|--|--|--|--|
| Form PTO-1390 US DEPARTMENT (Rev. 5-93) TRANSMITTAL LETTER TO THE DESIGNATED/ELECTED OFFIC | | ATTORNEY'S DOCKET NO. M 6185 HST-CCAE-COIL PCT/US U.S. APPLICATION NO. (IJ/Npwn - Spc. of QCR 1/2) | | | | | |
| CONCERNING A FILING UNDER | | U.S. APPLICATION 90. (1/15/2995/64 | | | | | |
| INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DAT October 13, 1998 | | PRIORITY DATE CLAIMED October 14, 1997 | | | | | |
| TITLE OF INVENTION COMPOSITION AND PROCESS FOR MULTI-PURPOSE TREATMENT OF METAL SURFACES | | | | | | | |
| APPLICANT(S) FOR DO/EO/US Bruce H. Goodreau, Thomas J. Prescott | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (EO/DO/US) the following items and other information: | | | | | | | |
| 1. ■ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | |
| ☐ This a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | |
| 3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39 (1). | | | | | | | |
| 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. | | | | | | | |
| A copy of the International Application as filed (35 U.S. C. 371(c)(2)). a. is transmitted herewith (required only if not transmitted by the International Bureau). b. has been transmitted by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | |
| % □ A translation of the International Application into English (35 U.S.C. 371(c)(2)). | | | | | | | |
| Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. are transmitted herewith (required only if not transmitted by the International Bureau). b. have been transmitted by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. | | | | | | | |
| 8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | |
| . ■ An oath or declaration of the inventor(| s) (35 U.S.C. 371(c)(4)). | | | | | | |
| 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | |
| Iltems 11. to 16. below concern other document(s) or information included: 11. ■ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | | | | | | |
| 12. ■ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | |
| 13. ■ A FIRST preliminary amendment □ A SECOND or SUBSEQUENT preliminary amendment. | | | | | | | |
| 14. ☐ A substitute specification. | □ A substitute specification. | | | | | | |
| . A change of power of attorney and/or address letter. | | | | | | | |
| 16. □ Other items or information.: | | | | | | | |
| Information Disclosure Citation (with references) | | | | | | | |
| "Express Mail" mailing label numberEL444425818US | | | | | | | |

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| U.S. Application (p) of kg/o 5 20 9 5 3 4 INTERNATIONAL APPLICATION NO. PCT/US98/20933 | | ATTOMINEY'S DOCKET NUMBER M 6185 HST-CCAE-COIL PCT/US | | | |
|---|---|--|---|---|---|
| 17. The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO | | | | CALCULATIONS | PTO USE ONLY |
| Neither international pr international search fe International prelimina | e paid to USPTO (37CFf reliminary examination fe e (37 CFR 1.445(a)(2)) i ry examination fee paid to b provisions of PCT Article | | | | |
| | PROPRIATE BASI | \$ 670 | 00 | | |
| Surcharge of \$130.00 for furni months from the earliest claim | | \$ | | | |
| Claims | Number filed | Number Extra | Rate | | |
| Total Claims | 20 - 20 = | 0 | X 18.00 | \$ | 00 |
| Independent Claims | 2 - 3 = | 1 | X 78.00 | \$ | 00 . |
| Multiple dependent claims (s)(| (if applicable) | 0 | + 260.00 | \$ | 00 |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 67 | 0 00 |
| Beduction by ½ for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28). | | | | \$ | |
| SUBTOTAL = | | | | \$ 67 | 70 00 |
| Processing fee of \$130.00 for furnishing the English translation later the \(\text{20} \) \(\text{30} \) \(\text{table for furnishing the English translation later the } \(\text{20} \) \(\text{30} \) \(\text{40} \) | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$ 67 | 70 00 |
| Gee for recording the enclosed accompanied by an appropriate | • • | \$ | | | |
| | TC | \$ 67 | 70 00 | | |
| | | | | Amount to be: refunded | \$ |
| | | | | charged | 670.00 |
| c. | sosit Account No01-1; s sheet is enclosed. Ordesioner is hereby authorized Account No01-125 te time limit under 37 Cethe application to pend NCE TO: Henkel Co | 250 in the amount of er No. 00-0361 zed to charge any addit 50 A triplicate copy FR 1.494 or 1.495 has ling status. | f \$ 670.00 tional fees which most of this sheet is ence in not been met, a post. pt. 200 SIC Steen NA 33. | closed. petition to revive (3) GNATURE pephen D. Harpe | CFR 1.137 (a) or (b)) must be redit any |